

2611
#5
Dk
04/10/03

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3698D6

In Re Application Of: John Hendricks

Serial No.

09/966,759

Filing Date

October 1, 2001

Examiner

N/A

Group Art Unit

2611

TP E JC
Title: Tiered Programming Television Delivery System
MAR 11 2003
ATTEN: & TRADEMARK OFFICE

RECEIVED

MAR 19 2003

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3698D6

In Re Application: John Hendricks

Serial No.

09/966,759

Filing Date

October 1, 2001

Examiner

N/A

Group Art Unit

2611

Tiered Programming Television Delivery System



RECEIVED

MAR 19 2003

Technology Center 2600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Kelly Lee

Reg. No. 47,743

DORSEY & WHITNEY LLP

1001 Pennsylvania Avenue, N.W.

Suite 400

Washington, D.C. 20004

(202) 442-3000

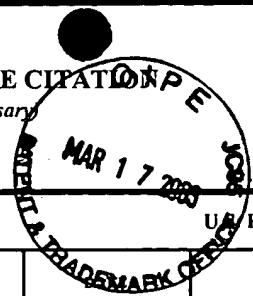
(202) 442-3199 facsimile

cc:

Dated: March 17, 2003

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)
3698.D6Application Number
09/966,759Applicant(s)
John S. HendricksFiling Date
October 1, 2001Group Art Unit
2611

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	TRADEMARK OFFICE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,635,989	6/3/1997	Rothmuller	725	46	
	2.	5,629,733	5/13/1997	Youman, et al.	725	53	
	3.	4,641,205	2/3/1987	Beyers, Jr.	386	83	
	4.	5,793,872	8/11/1998	Hirayama et al.	386	95	
	5.	5,550,863	8/27/1996	Yurt, et al.	375	240	
	6.	5,270,809	12/14/1993	Gammie, et al.	725	114	
	7.	5,083,205	1/21/1992	Arai	348	555	
	8.	4,896,354	1/23/1990	Inagaki, et al.	380	207	

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
						RECEIVED	
						MAR 19 2003	
						Technology Center 2600	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.